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IMPROVING OBJECT DETECTION EFFICIENCY: YOLO V4 AND BACKBONE SELECTION

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Article Info

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Abstract

Object detection and classification are crucial tasks in various applications, and the You Only Look Once (YOLO) algorithm has emerged as a prominent solution, known for its real-time performance and lightweight model size. This paper investigates the impact of different backbones, namely CSPResNeXt-50, CSPDarkNet53, and EfficientNet-B0, on the performance of YOLO v4 as an object detection model. Microfossil analysis, a critical aspect of biostratigraphy for dating rocks using contained fossils, is used as the primary application domain for evaluation.

The YOLO algorithm comprises three main components: backbone, neck, and head, each serving distinct functions. The backbone acts as a feature extractor from input images, and the selected backbones exhibit promising performance, achieving up to 70% accuracy and detecting objects at 40 frames per second (FPS). Comparisons with other one-stage detectors like RetinaNet and Single Shot Multibox Detector (SSD) highlight YOLO's superiority in real-time scenarios. The study focuses on microfossil identification, which traditionally relies on specialized human expertise, leading to a decline in education and training opportunities. Leveraging advancements in machine learning, the research explores the potential for automated microfossil characterization using Convolutional Neural Networks (CNNs). Previous research achieved significant accuracy, with ResNet50 model attaining 81.8% accuracy, 76.7% precision, and 71.4% recall.

This paper further examines the performance of YOLO v4 with different backbones. The authors test RetinaNet, EfficientDet-D0, RFBNet, NAS-FPN, ATSS, RDSNet, CenterMask, LRF, Faster R-CNN, M2det, SSD, and TridentNet as part of YOLO v4, with RetinaNet and EfficientDet-D0 showing promising results close to

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YOLO v4. Notably, YOLO v4 with CSPDarkNet53 backbone achieves 96 FPS and 41.2% Average Precision (AP), while EfficientDet-D0 scores 62.5 FPS and 33.8% AP, and RetinaNet reaches 37 FPS and an AP of 37%. The primary focus of this research is to assess the impact of CSPResNeXt-50, CSPDarkNet53, and EfficientNet-B0 backbones on the YOLO v4 model's performance as an object detector. Evaluation metrics include mean average precision (mAP), average precision (AP) at various Intersection over Union (IoU) scales, F1score, and frames per second (FPS). The results shed light on how the selected backbones influence YOLO v4 configuration, enabling a clear understanding of their effects.

1. Introduction

You Only Look Once (YOLO) is an algorithm based on convolutional neural network that is often used for object detection and object classification. The structure of this algorithm consists of several parts such as backbone, neck, and head, each of which has a different function. The YOLO algorithm has advantages over other one-stage detectors such as RetinaNet and Single Shot Multibox Detector (SSD) when used in real-time conditions where the YOLO algorithm produces larger frames per second (FPS) and a lighter model size so that the detection capability is better [1]. The backbone of the YOLO algorithm acts as a feature extractor from the input image. The types of backbones are CSPDarkNet53, CSPResNeXt-50, and EfficientNet-B0. These are backbones that can be used in the YOLO algorithm and produce up to 70% accuracy while still detecting objects up to 40 FPS [2].

Microfossil objects are closely related to biostratigraphy, namely the science of determining the age of rocks using the fossils contained therein. The complex morphology of microfossils requires the use of specialists for correct systematics, especially to produce detailed and accurate biostratigraphic correlations [3]. Education and training in identifying microfossils is dwindling but technological developments allow the possibility of accelerating and standardizing the characterization and identification of fossils by machine learning [4]. the latest research on microfossil images classified using the Convolutional Neural Network (CNN) with 7 different models, namely VGG-19, Inception-ResNetV2, MobileNetV2, ResNet50, Xception, NASNetMobile, and DenseNet121. This study concludes that CNN with the ResNet50 model has the greatest accuracy of 81.8%, 76.7% precision, and 71.4% recall [5].

The backbone of the YOLO algorithm acts as a feature extractor from the input image. In research on YOLO v4 entitled "YOLOv4: Optimal Speed and Accuracy of Object Detection", RetinaNet, EfficientDet-D0, RFBNet, NAS-FPN, ATSS, RDSNet, CenterMask, LRF, Faster R-CNN, M2det, SSD, and TridentNet tested with YOLO v4. The result, RetinaNet and EfficientDet-D0 achieved FPS and AP values closer to YOLO v4 than other detectors. YOLO v4 with CSPDarkNet53 backbone scored 96 FPS and 41.2% AP. EfficientDet-D0 scored 62.5 FPS and 33.8% AP. While RetinaNet scored 37 FPS and an AP of 37%. The CSPResNeXt-50 backbone is used on RetinaNet and EfficientNet-B0 is used on EfficientDet-D0 [2].

Based on previous research, further research is needed to validate the hypotheses from previous researches and determine the effect of using different backbones on the YOLO v4. The effect of this backbone can be evaluated by mean average precision (mAP), average

precision (AP) at several intersection over union (IoU) scales, f1-score, and frames per second (FPS). Therefore, this study examines the effect of the CSPResNeXt-50, CSPDarkNet53, and EfficientNet-B0 backbones on the

YOLO v4 model as object detectors. With this research, the influence of the backbone on the YOLO v4 configuration can be seen clearly through the evaluation of the research results.

2. Literature Review

2.1. You Only Look Once (YOLO)

YOLO or You Only Look Once is one of the single-stage object detectors besides SSD (Single Shot Detector). YOLO is a new approach in the realm of object detection. With YOLO, object detection is done by viewing the problem as a regression problem to spatially separate the bounding box and the probability classes associated with the bounding box. A neural network predicts the bounding box and prediction class directly from the entire image from a single evaluation [6].

The fourth version of YOLO adds several additions to its network to improve accuracy and efficiency, namely:

- a. Weighted Residual Connection which learns to combine residues from neural network layers effectively and efficiently [7].
- b. Cross Stage Partial Connections (CSP) integrates feature maps from the initial stage to the final stage of the network. CSP implementation reduces compute by as much as 20% and outperforms other state-of-the-art backbone architectures [8].
- c. Cross Mini-Batch Normalization overcomes the problem where the statistics generated when normalization is defined cannot be estimated properly [9].
- d. Self-adversarial Training is a new data augmentation technique that operates in 2 stages forward and backward on the network [10]. e. Mish-activation is a non-monotone activation function that is self-regulating [11].
- f. Mosaic Data Augmentation is a data augmentation technique by combining several images in a dataset into one. DropBlock regularization is used as a new regularization method for CNN [12].
- g. CIoU loss, a loss function that achieves faster convergence and better accuracy in bounding box regression problems [13].

In addition, YOLO v4 also uses Spatial Pyramid Pooling (SPP) and Path Aggregation Network (PAN) on the neck. Spatial Pyramid Pooling serves to eliminate fixed network size limitations [14]. While the Path Aggregation Network serves to improve the flow of information in the segmentation instance. In particular, the feature hierarchy is enhanced by accurate localization signals in the lower layers with bottomup path aggregation, which shortens the information path between the lower layers and the top features [15]. For the head, it still uses the head from YOLO v3 which uses 3 detectors of different sizes [16].

YOLO v4 is also based on Darknet and has obtained an AP value of 43.5 percent on the COCO dataset along with a real-time speed of 65 FPS on the Tesla V100, beating the fastest and most accurate detectors in terms of speed and accuracy and when compared to YOLO v3, AP and FPS increased by 10 percent and 12 percent, respectively [2]. The structure of YOLO v4 were shown in Fig. 1.



2.2. CSPDarkNet53

Fig 1. YOLOv4 structure

CSPDarkNet53 is the default backbone of the YOLO v4. This backbone is a development of the backbone in YOLO v3, namely DarkNet53 which has added the Cross Stage Partial Connections (CSP) feature which integrates feature maps from the initial stage to the final stage of the network. CSP implementation reduces computation by as much as 20% thereby outperforming other state-of-the-art backbone architectures [2]. The structure of CSPDarkNet53 is shown in Figure 2.



Fig 2. CSPDarkNet53 structure

2.3. CSPResNeXt-50

CSPResNeXt-50 is a Convolutional Neural Network algorithm that applies the Cross Stage Partial Network (CSPNet) approach to ResNeXt. ResNeXt itself is an algorithm that repeats building blocks that combine a series of transformations with the same topology [7]. Compared to ResNet, ResNeXt exhibits a new dimension, cardinality (size of the transformation set), as an important factor besides depth and width dimensions [8]. CSPResNeXt-50 uses Leaky-ReLU Activation, which is an extension of ReLU Activation [17]. The structure of CSPResNeXt-50 is shown in Figure 3.



Fig 3. CSPResNeXt -50 structure

2.4. EfficientNet-B0

EfficientNet is a convolutional neural network architecture and a scaling method that scales all depth/width/resolution dimensions uniformly using combined coefficients. The EfficientNet scaling method uniformly scales the network width, depth, and resolution with a fixed set of scaling coefficients [18]. The network on EfficientNet is taken from the results of the MobileNetV2 inversion with the addition of squeeze-and-excitation [19]. EfficientNet-B0 uses swish activation in the process [20]. The structure of EfficientNet-B0 is shown in Figure 4.



Fig 4. EfficientNet -B0 structure

2.5. Evaluation Metrics

This study uses metrics commonly used to evaluate the model as a reference for comparison of each backbone. There are 4 categories that can be generated from the comparison of the detection results with the actual label, namely True Positive (TP), False Positive (FP), False Negative (FN), and True Negative (TN). Furthermore, the results will be presented through standard measurements in the form of precision, recall, f1-score, average precision (AP), mean average precision (mAP), and frames per second (FPS). The formulas of precision, recall, AP, mAP, and f1-score are presented in Equation (1), (2), (3), (4), and (5).

$Precision = \frac{TP}{TP + FP}$		(1)
$Recall = \frac{TP}{TP+FN}$		(2)
$F_1 = \frac{2PR}{P+R}$	(3)	
$AP = \int_0^1 p(r) dr$		(4)
$mAP = \frac{1}{n} \sum_{i=1}^{n} APi$		(5)

Definition:

TP = True Positive FP = False Positive FN = False Negative P = Precision R = Recall AP = Average Precision **3. Methods**

There are two stages in this research, the first stage is the data training stage. At this stage, the training data will be trained through a series of backbone, neck, and head on YOLOv4 3 times using different backbones so as to produce a YOLO model that has different weights. The stage is shown in Figure 5.



Fig 5. Training Stage

The second stage is the data testing stage where the validation data will be compared with the weights obtained from the data training stage, the result is a comparison between the bounding box ground truth and the bounding box detection results. The stage is shown in Figure 6.



Fig 6. Testing Stage

3.1. Dataset Preparation

At this stage, the dataset uses 5 species of foraminiferal microfossils, each of which has its own unique shape. Microfossil images are taken after going through the preparation process first. Preparation is the process of separating fossils from rocks and other impurities. This process generally aims to separate the microfossils contained in the rock from the clay material (matrix) that surrounds it. The dataset was downloaded from endlessforams.org with a total of 1082 training data and 226 validation data, as shown in Table 1.

No	Microfossil Species	Training Data	Validation Data
1.	Bulimina tenuata	200	40
2.	Takagamiya delicata	290	58
3.	Uvigerina peregrina	200	50
4.	Bolivina argentea	192	38
5.	Globigerinella	200	40
	siphonifera		
	Total	1082	226

Table 1. Microfossils Dataset

3.2. Object Annotation

Before the dataset can be used, the dataset needs to be labelled so that the species of the microfossil and its location in the image can be identified. The labelling process is carried out using the LabelImg application. Labelling through this application uses the YOLO format so as to produce a "txt" format file that has the same name as each image. The contents of this "txt" file consist of image categories arranged by number sequence as well as 4 coordinate points which are the boundaries of the object in the image. As seen in Figure 7.



Fig 7. Image Labelling

3.3. Setting the Configuration File

The next step is to create a configuration file that is used to train the dataset and generate the YOLO v4 model. At this stage the 3 configuration files each have a different backbone. The differences between each backbone are described in Table 2.

Table 2. Backbone Comparison

	CSPDarkNet53	CSPResN	CSPResNeXt-50	
				B0
Activation Type	Mish	Leaky	ReLU	Swish
	Activation	Activation		Activation
Cross Stage Partial				
Squeeze-and-				
Excitation				

Each backbone has a different number of stages, the number of these stages affects the time needed to carry out training. In addition, the types of activation that the three backbones have are also different. The activation

function is a non-linear function that functions to convert linear inputs to non-linear ones after convolution. Activation used on each backbone has different functions. Then the CSPDarkNet53 and CSPResNeXt-50 backbones use Cross Stage Partial (CSP) which functions to relate problems with duplicate gradient information in network optimization, complexity can be reduced while maintaining accuracy. However, the EfficientNet-B0 backbone does not use CSP and only uses Squeeze-and-Excitation. Squeeze-and-Excitation serves to increase the strength of network representation by recalibrating dynamic channels. Each channel is squeezed into a single numeric value using average pooling, then convolution followed by Swish adding non-linearity and reducing the complexity of the output channel and followed by sigmoid which gives each channel a smooth gating function. The configuration is managed through a "cfg" format file used during data training and data validation. This configuration file contains a series consisting of hyperparameters, backbone, neck, and head.

3.4. Training Models

The device used has the following specifications: OS, Windows 10; GPU, Nvidia Tesla P100. The training is carried out through the Google Colab with the Darknet framework. The parameters used were adjusted to the optimal parameters used in the initial research on YOLO v4 [2]. All trainings were carried out in the same environment with the parameter specifications described in Table 3.

·	
Batch Size	64
Max Batches	10000
Subdivision	16
Width x Height	416 x 416
Data Augmentation	Mosaic
	Augmentation
Learning Rate	0,001

 Table 3. Parameter Configuration

4. Results

In accordance with the max batches used, data training is carried out for 10000 iterations. However, YOLO v4 can perform early stopping training model automatically by taking the training results in certain iterations that produce the largest mean average precision (mAP), but training is continued to get the full graph. The graph on Darknet takes calculation data starting from the 1000th iteration so that the graph also starts from the 1000th iteration. Early stopping model training serves to stop model training and prevent model overfitting. The results of the training in the form of graphs of average loss and mAP for 10000 iterations can be seen in Figure 8, 9, and 10.



Fig 8. CSPDarkNet53 model result

YOLO v4 with CSPDarkNet53 backbone has been trained for 10000 iterations with an average loss of 0.3824. In the 4800th iteration, early stopping was carried out because the mAP value had shown a large number (97%) and the average loss had not decreased significantly.





YOLO v4 with a CSPResNeXt-50 backbone has been trained for 10000 iterations with an average loss of 0.3628. In the 6500th iteration, early stopping was carried out because the mAP value had shown a large number (99%) and the average loss had not decreased significantly.



Fig 10. EfficientNet-B0 model result

YOLO version 4 with EfficientNet-B0 backbone has been trained for 10000 iterations with an average loss of 0.6017. In the 5400th iteration, early stopping was carried out because the mAP value had shown a large number (97%) and the average loss had not decreased significantly.

After the test was successfully carried out, the values of precision, recall, f1-score, average precision (AP), frames per second (FPS) and mean average precision (mAP) were obtained from each test. The test results for each backbone can be seen in Table 4, 5, and 6.

Precision (%)	72	72	72	72	72	71	70	67	54	
Recall (%)	90	90	90	90	90	90	88	85	<u> </u>	14
	0.5	0.55	0.6	0.65	0.7	0.75	0.8	0.85	0.9	0.95
AP (%)	97,48	97,48	97,48	97,48	97,48	96,61				11
			Tab	le 5. CSP	ResNeXt	-50 Resu	lt			
							78	75	60	12
							95,07	88,12	62,65	4,26
(%)	80	80	80	80	80	79				
Precision (%)) 89	89	89	89	89	89	87	80	50	_
Recall (%)	96	96	96	96	96	95	93	85	53	5
							0,95			
0,6	0,65	0,7	0,75	0,8	0,85	0,9	5			
AP (%)	99,08	99,08	99,08	99,08	99,08	98,25				
			Tab	le 6. Effic	eientNet-l	B0 Result	t			
							90	82	51	5
							94,77	82,45	38,28	0,91
(%)	92	92	92	92	92	92				
					= 2		1	(0)	40	_
Precision (%)) 73	73	73	73	73	73	71	68	48	
Recall (%)	92	92	92	92	92	92	90	86	60	16
		• -	• 		0 0 -		0,95			
0,6	0,65	0,7	0,75	0,8	0,85	0,9	13	-	52	1.4
							80	/6	53	14
	07.43	07.43	07.43	07.43	07 /3		03.83	85.62	18 71	1.81
	97,43	97,43	97,43	97,43	97,43		93,83	85,62	48,74	4,84
(%)	97,43 82	97,43 82	97,43 82	97,43 82	97,43 82	82	93,83	85,62	48,74	4,84

Table 4. CSPDarkNet53 Result

Based on 3 tests using 3 different backbones, several values can be used as a comparison for each backbone. One of the values that can be analysed and compared is the average precision (AP) value at a certain intersection over union (IoU). The IoU value of 0.0 has no intersection between the bounding box and ground truth, while the IoU value of 0.95 has almost complete intersection. The comparison can be seen in Table 7. **Table 7.** Average Precision Comparison

Backbone	AP50	AP ⁵⁵	AP60	AP ⁶⁵	AP ⁷⁰	AP ⁷⁵	AP⁸⁰	AP⁸⁵	AP ⁹⁰	AP ⁹⁵
CSPDarkNet	97,48%	97,48%	97,48%	97,48%	97,48%	96,61%	95,07%	88,12%	62,65%	4,26%
53							*	*	*	
CSPResNeXt	99,08%	99,08%	99,08%	99,08%	99,08%	98,25%	94,77%	82,45%	38,28%	0,91%
-50	*	*	*	*	*	*				
EfficientNet-	97,43%	97,43%	97,43%	97,43%	97,43%	97,43%	93,83%	85,62%	48,74%	4,84%
B0										*

The prediction of YOLO v4 with a CSPResNeXt-50 backbone gets the highest AP value in the IoU value range of 0.5 (50%) to 0.75 (75%).

Meanwhile, YOLO v4 with a CSPDarkNet53 backbone got the highest AP value in the IoU value range of 0.8 (80%) to 0.9 (90%) and the EfficientNet-B0 backbone got the highest AP value at an IoU value of 0, 95 (95%). Overall, the mAP (Mean Average Precision), Precision, Recall, and F1-Score values of the three backbones can be seen in Table 8.

Table 8. Overall Comparison

	Preci-	Recall	F1-Score	<u> </u>
Backbone				mAP (%)
	sion (%)	(%)	(%)	83,41%*
CSPDarkNet53	63,33%	79,50%	70,40%	81,00%
CSPResNeXt-	75,60%	* 81,10%	6* 78%	*
50				
EfficientNet-	63,80%	80,40%	6 71,50	% 81,76%
B0				

CSPDarkNet53 backbone has a higher mAP (Mean Average Precision) value than the other backbones, this value is caused by the AP value of CSPDarkNet53 which has 62% predictive data at an IoU of 0.9. This is different from CSPResNext50 and EfficientNet-B0 which only have 38% and 48% predictive data on the IoU, respectively. However, the largest Precision, Recall, and F1-Score values belong to the CSPResNext50 backbone. This value is obtained from the large Precision, Recall, and F1-Score values in the IoU range of 0.5 to 0.85. Also, the frames per second (FPS) value of the video had been processed using the three YOLO v4 models with different backbones. The test results can be seen in Table 9.

 Table 9: Video Testing

Backbone	Model Size	FPS
CSPDarkNet53	244,2MB	32*
CSPResNeXt-	216MB	31,6
50		
EfficientNet b0	156,8MB*	30,5

The highest FPS was obtained from video that were processed through the YOLO v4 model with a CSPDarkNet53 backbone. However, the difference between the highest and lowest FPS is only 1.5 FPS, so there is no significant difference between the three models. The absence of significant differences is caused by the structure of the three models which are still similar in the arrangement of the backbone, neck, and head. It is different from other detectors which have different structures, as in previous studies regarding the comparison of RetinaNet, SSD, and YOLO v3 which RetinaNet was only able to achieve an FPS of 22 FPS, while YOLO v3 was able to achieve an FPS of 69 FPS [1].

5. Conclusion

Research began by looking for a dataset in the form of foraminiferal microfossil images, then continued with labelling the image using the LabelImg application, then the dataset was trained using the YOLO v4 algorithm with 3 different backbones, namely CSPDarkNet53, CSPResNeXt-50, and EfficientNet-B0. By comparing the three models of the backbone, each model has its own advantages and disadvantages.

YOLO v4 with CSPDarkNet53 backbone has the largest mAP of 83.41% (0.83411) compared to the YOLO v4 with CSPResNeXt-50 and EfficientNet-B0 backbones which received mAP of 81,01% (0.81005) and 81,76% (0.81761). These values were tested on 10 different

IoU ranges, starting from IoU 0.5 to IoU 0.95. YOLO v4 with CSPResNeXt-50 backbone scored the highest Precision, Recall, and F1Score compared to the other 2 YOLO v4 models with scores of 75.6%, 81.1%, and 78%. YOLO v4 model with CSPResNeXt-50 backbone gets the highest AP value in the IoU range of 0.5 to 0.75. YOLO v4 with CSPDarkNet53 backbone got the highest AP value in the IoU range of 0.8 to 0.9. The YOLO v4 with EfficientNet-B0 backbone got the highest AP value at IoU 0.95. Then through testing using video, YOLO v4 with a CSPDarkNet53 backbone got the highest frames per second (FPS) of 32 FPS.

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